

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing  OR  <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)))	<b>Attorney Docket Number</b>	S111-USA
	<b>First Named Inventor</b>	Greenberg
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	-- / --
	<b>Filing Date</b>	--
	<b>Group Art Unit</b>	--
	<b>Examiner Name</b>	--

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**IMPLANTABLE MICROFLUIDIC DELIVERY SYSTEM USING ULTRA-NANOCRYSTALLINE  
DIAMOND COATING**

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above:

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application	Foreign Filing Date	Priority	Certified Copy Attached?	
			YES	NO
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.


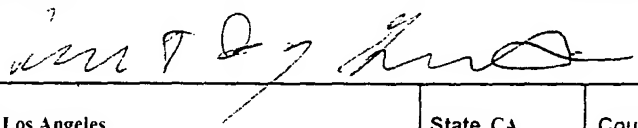

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/272,962	2/28/01	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		 <b>28284</b> <small>PATENT, TRADEMARK OFFICE</small>		<input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below	
<b>Name</b> SECOND SIGHT, LLC					
<b>Address</b> P.O. BOX 905					
<b>Address</b>					
<b>City</b> Santa Clarita			<b>State</b> California		<b>ZIP</b> 91380-9005
<b>Country</b> USA		<b>Telephone</b> (661) 775-3995		<b>Fax</b> (661) 775-1595	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
<b>NAME OF SOLE OR FIRST INVENTOR :</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<b>Given Name</b> (first and middle [if any]) Robert J.			<b>Family Name</b> or Surname Greenberg		
<b>Inventor's Signature</b> 					<b>Date</b> 10/26/01
<b>Residence: City</b> Los Angeles		<b>State</b> CA		<b>Country</b> USA	<b>Citizenship</b> USA
<b>Mailing Address</b> 1600 S. Bentley Ave. #7					
<b>Mailing Address</b>					
<b>City</b> Los Angeles		<b>State</b> CA		<b>ZIP</b> 90025	<b>Country</b> USA
<b>NAME OF SECOND INVENTOR:</b>			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<b>Given Name</b> (first and middle [if any]) Brian V.			<b>Family Name</b> or Surname Mech		
<b>Inventor's Signature</b> 					<b>Date</b> 10-26-01
<b>Residence: City</b> Sherman Oaks		<b>State</b> CA		<b>Country</b> USA	<b>Citizenship</b> Canada
<b>Mailing Address</b> 14812 Dickens St. #307					
<b>Mailing Address</b>					
<b>City</b> Sherman Oaks		<b>State</b> CA		<b>ZIP</b> 91403	<b>Country</b> USA
<input type="checkbox"/> Additional inventors are being named on _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**POWER OF ATTORNEY**

Docket No.

S111-USA

Name of Applicant: Robert J. Greenberg  
Address of Applicant: 1600 S. Bentley Ave. #7  
Los Angeles, CA 90025

Brian V. Mech  
14812 Dickens St. #307  
Sherman Oaks, CA 91403

Title: **IMPLANTABLE MICROFLUIDIC DELIVERY SYSTEM USING  
ULTRA-NANOCRYSTALLINE DIAMOND COATING**

Serial No., if Any:

Filed:

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Honorable Sir:

I hereby appoint:

Gary D. Schnittgrund, Reg. No. 42,130

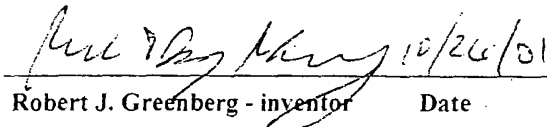
Scott B. Dunbar, Reg. No. 37,124

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Gary D. Schnittgrund  
Second Sight, LLC  
P.O. Box 905  
Santa Clarita, California 91380-9005  
(661) 775-3995  
(661) 775-1595

By:

  
Robert J. Greenberg - inventor Date 10/26/01

  
Brian V. Mech - inventor Date 10-26-01

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Robert J. Greenberg  
Brian V. Mech

## 2. Name and address of receiving party(ies):

Name: SECOND SIGHT, LLCInternal Address: P.O. BOX 905Santa Clarita, California 91380-9005

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Execution Date: October 26, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 26, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Second Sight, LLCInternal Address: P.O. Box 905Santa Clarita, California 91380-9005

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-0922

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary D. Schnittgrund, Reg. No. 42,130

Name of Person Signing

Signature

10/26/2001

Date

Total number of pages including cover sheet, attachments, and document:

4

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
S111-USA

*This is an Assignment of the following rights, title and interest: (check all that apply):*

☒ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☐ *United States Patent Application Serial No.* \_\_\_\_\_

*Date of Execution:* \_\_\_\_\_

*Date of Filing:* \_\_\_\_\_

☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_

☐ *United States Patent No(s).* \_\_\_\_\_

☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_

☐ *Other (specify)* \_\_\_\_\_

*Title of the Invention*

IMPLANTABLE MICROFLUIDIC DELIVERY SYSTEM USING ULTRA-NANOCRYSTALLINE DIAMOND COATING

*Inventors (assignors)*

<i>Name</i>	<i>Address</i>
Robert J. Greenberg	1600 S. Bentley Ave. #7, Los Angeles, California 90025
Brian V. Mech	14812 Dickens St. #307, Sherman Oaks, California 91403

*Assignee*

<i>Name</i>	<i>Address</i>
Second Sight, LLC	P.O. Box 905, Santa Clarita, California 91380-9005

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
S111-USA

*Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");*

*And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;*

*Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;*

*We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;*

*And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.*

*Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)*

<i>Name</i>	<i>Signature/Date</i>
Robert J. Greenberg	
Brian V. Mech	

**Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)**

Docket No.  
S111-USA

**Notarization**

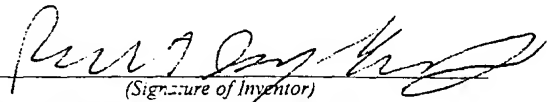
*(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)*

Executed this 26th day of October, in the year 2001  
at Valencia


State of California

County of Los Angeles

Before me personally appeared Robert J. Greenberg  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 26th day of October, in the year 2001

  
(Signature of Inventor)



  
(Notary Public)

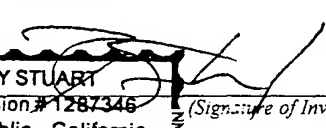
Executed this 26th day of October, in the year 2001  
at Valencia

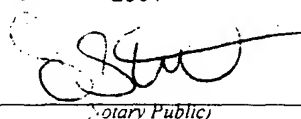
State of California

County of Los Angeles

Before me personally appeared Brian V. Mech  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this 26th day of October, in the year 2001



  
(Signature of Inventor)

  
(Notary Public)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_  
at \_\_\_\_\_

State of \_\_\_\_\_

County of \_\_\_\_\_

Before me personally appeared \_\_\_\_\_  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this \_\_\_\_\_ day of \_\_\_\_\_, in the year \_\_\_\_\_

\_\_\_\_\_  
(Signature of Inventor)

\_\_\_\_\_  
(Notary Public)